

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 09725-017001	Application No. 10/072,683
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Zuk et al	O P E JUN 02 2003 U.S. PATENT & TRADEMARK OFFICE
		Filing Date February 8, 2002	Group Art Unit 2131

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
mon	AA	6,253,321	6/2001	Nikander et al			
	AB	6,321,338	11/2001	Porras et al			
	AC	6,499,107	12/2002	Gleichauf et al			RECEIVED
✓	AD	6,487,666	11/2002	Shanklin et al			JUN 04 2003
	AE						
	AF						Technology Center 2100
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	AL						
	AM						
	AN						
	AO						
	AP						

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature <i>Naresh Kumar</i>	Date Considered 9/15/05
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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17 CFR §1.98(b)			

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	AG						
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Other Documents (include Author, Title, Date, and Place of Publication)							
Examiner Initial	Desig. ID	Document					
mpm	AJ	StoneBeat 'SecurityCluster White Paper'; August 2000, Stonesoft, Finland; pp 1-9.					
	AK	StoneBeat 'Secure Highly Available Enterprise - A White Paper'; February 2001; Stonesoft, Finland; pp 1-10.					
	AL	'StoneGate White Paper'; March 2001; Stonesoft, Finland, pp. 1-6.					
	AM	www.stonesoft.com/document363.html Stonesoft Corp 'StoneGate' product webpage; pp 1-2.					
	AN	www.stonesoft.com/document183.html ; Stonesoft Corp. 'Next Level of Network Accessibility' webpage; pp 1-1.					
	AO	www.stonesoft.com/document186.html ; Stonesoft Corp. 'Platforms'; webpage pp 1-1.					
	AP	'Nokia Technical White Paper: the IP Clustering Power of Nokia VPN-Keeping Customers Connected'; April 2001; pp 1-13.					
	AQ	Nokia VPN Solutions - Nokia VPN CC2500 Gateway; 01/01/2001; product information; pp 1-2.					
	AR	Nokia VPN Solutions - Nokia VPN CC5200 Gateway; 01/01/2001; product information; pp 1-2.					
	AS	Nokia VPN Solutions - Nokia VPN CCS205 Gateway; 01/01/2001; product information; pp. 1-2.					

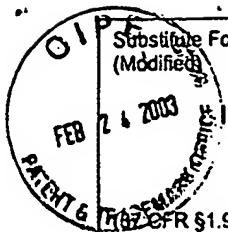
Examiner Signature <i>nguyen hundm</i>	Date Considered 9/15/05
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PATENT & TRADEMARK OFFICE

SHEET 1 OF 1

INFORMATION DISCLOSURE CITATION PTO-1449		CUSTOMER NUMBER 44987		ATTORNEY'S DKT NO. 0023-0209 APPLICANT(S) Nir Zuk et al.		APPLICATION NO. 10/072,683	
				FILING DATE February 8, 2002		GROUP 2136	
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
mon	6,466,985 B1	10/15/2002	Goyal et al.	709	238		
↓	US 2004/0030927 A1	02/12/2004	Zuk	713	201		
FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
mon	WO 03/025766 A1	3/27/2003	WIPO			Yes	No
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
mon	Copy of co-pending U.S. Patent Application Serial No. 10/961,075, filed October 12, 2004, entitled "Intelligent Integrated Network Security Device for High-Availability Applications," Nir Zuk et al., 24 page specification, 13 sheets of drawings.						
EXAMINER	DATE CONSIDERED 09/15/05						

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Information Disclosure Statement
by Applicant
(Use several sheets if necessary)

Applicant
Zuk et al

FEB 25 2003

Filing Date
February 8, 2002Group Art U Technology Center 2100
2131

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
mbn	AA	6,311,278	10/30/01	Raanan et al.			
	AB	6,304,975	10/16/01	Shipley			
	AC	6,301,668	10/9/01	Gleichauf et al.			
	AD	6,279,113	8/21/01	Vaidya			
	AE	6,275,942	8/14/01	Bernhard			
	AF	6,119,236	9/12/00	Shipley			
	AG	5,835,726	11/10/98	Shwed et al.			
✓	AH	5,606,668	2/25/97	Shwed			
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	AJ						
	AK						

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	AL						
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	AO						
	AP						

Other Documents (include Author, Title, Date, and Place of Publication)		
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mbn	AQ	Axelsson, S., "Intrusion Detection Systems: A Survey and Taxonomy," <i>Dept. of Computer Eng.</i> , Chalmers Univ. of Technology, Goteborg, Sweden, March 14, 2000
	AR	Avolio, F., "Firewalls and Virtual Private Networks," CSI Firewall Archives, printed 11/13/2001 URL: http://www.spirit.com/CSI/Papers/fw+vpns.html
	AS	Bace, R., "An Introduction to Intrusion Detection & Assessment," ICSA Intrusion Detection Systems Consortium White Paper, 1999, URL: http://www.icsalabs.com/html/communities/ids/whitepaper/Intrusion1.pdf
✓	AT	Business Wire, Inc., "NetScreen and OneSecure Unite to Deliver Industry's First Total Managed Security Services Platform," San Jose, California, February 20, 2001

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<i>mbn</i>	9/15/05
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<i>mjt</i>	AU	Business Wire, Inc., "OneSecure Launches the First Co-Managed Security Services Platform," Denver, Colorado, January 29, 2001
	AV	Carr, Jim, "Intrusion Detection Systems: Back to Front?", <i>Network Magazine</i> , September 5, 2001, URL: http://www.networkmagazine.com/article/NMG20010823S0007/2
	AW	Check Point Software Technologies Ltd., FireWall-1® Technical Overview P/N 500326, www.checkpoint.com , October 2000
	AX	Cisco Systems. "IOS Firewall Intrusion Detection System," Cisco IOS Release 12.0(5)T, March 2001
	AY	Cisco Systems. "Cisco IOS Firewall Authentication Proxy," Cisco IOS Release 12.3.0(5)T, March 2001
	AZ	Clark, D., "RFC815-IP Datagram Reassembly Algorithms," Internet RFC/STD/FYI/BCP Archives, http://www.faqs.org/rfcs/rfc815.html July 1982
	AAA	Copeland, Dr. John A., "Observing Network Traffic – Techniques to Sort out the Good, the Bad, and the Ugly," PowerPoint Slide Presentation presented to ISSA-Atlanta, June 27, 2001
	ABB	Denning, Dorothy E., "An Intrusion-Detection Model," IEEE Transactions on Software Engineering, Vol. SE-13, No. 2, February 1987, pp. 222-223
	ACC	Farrow, Rik., "An Analysis of Current Firewall Technologies," <i>CSI 1997 Firewalls Matrix</i> , printed 11/13/02 at URL: http://www.spirit.com/CSI/Papers/farrowpa.htm
	ADD	Firewall Product Comparison Table: VelociRaptor, BorderWare Firewall Server and FireWall-1/VPN-1 Gateway, www.spirit.com , printed 11/13/01
	AEE	Firewall Product Comparison Table: PIX Firewall, CyberGuard Firewall for UnixWare & CyberGuard Firewall for Windows NT, www.spirit.com , printed 11/13/01
	AFF	Firewall Product Comparison Table: CyberGuard Premium Appliance Firewall, InstaGate EX & BixGuardian VPN, www.spirit.com , printed 11/13/01
	AGG	Firewall Product Comparison Table: Server Protector 100, GNAT Box Firewall Software & Lucent Managed Firewall, www.spirit.com , printed 11/13/01
	AHH	Firewall Product Comparison Table: Internet Security and Acceleration (ISA) Server 2000, NetBSD/i386 Firewall & Guardian Firewall, www.spirit.com , printed 11/13/01
	AII	Firewall Product Comparison Table: NetScreen-10 and NetScreen-100, CyberwallPLUS & BoarderManager, www.spirit.com , printed 11/13/01
	AJJ	Firewall Product Comparison Table: Gauntlet Firewall, Barricade Class/XL & Barricade S, www.spirit.com , printed 11/13/01
	AKK	Firewall Product Comparison Table: Sidewinder™, SecurePipe Managed Firewall Service & SnapGear, www.spirit.com , printed 11/13/01
	ALL	Firewall Product Comparison Table: SonicWALL PRO, SunScreen Secure Net & WinRoute Pro 4.1, www.spirit.com , printed 11/13/01
	AMM	Firewall Product Comparison Table: WatchGuard Technologies, Inc. LiveSecurity System 4.6, www.spirit.com , printed 11/13/01
	ANN	Graham, R., "FAQ: Network Intrusion Detection System," www.robertgraham.com/pubs/network-intrusion-detection.html , Ver. 0.8.3, March 21, 2000
	AOO	Habra, N. et al., "ASAX: Software Architecture and Rule-based Language for Universal Audit Trail Analysis," Proceedings of the ESORICS '92, European Symposium on Research in Computer Security, November 23-25, 1992, Toulouse, Sprenger-Verlag

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Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
<i>MW</i>	APP	ICSA Labs, "IDS Buyer's Guide, Parts One and Two," ICSA White Paper, December 1999, URL: http://documents.iss.net/whitepapers/nvh_ids.pdf
	AQQ	Jackson, K. et al., "Intrusion Detection System (IDS) Product Survey," <i>Los Alamos National Laboratory</i> , Los Alamos, New Mexico USA, LA-UR-99-3883 Ver 2.1, June 25, 1999, URL: http://www.sekure.net/ids/00416750.pdf
	ARR	Jones, Kyle, "Introduction to Firewalls," <i>IT Audit.org Forum Network Management</i> , Vol. 2, May 1, 1999, URL: http://www.itaudit.org/forum/networkmanagement/f209nm.htm
	ASS	Lancope, "The Security Benefits of a Flow-Based Intrusion Detection System," www.lancope.com , www.stealthwatch.com , White Paper, date unknown
	ATT	Laplink, Inc., "Article #178 – Introduction to Firewalls," www.laplink.com/support/kb/article.asp?ID=178 , April 24, 2001
	AUU	McHugh, J. et al., "Defending Yourself: The Role of Intrusion Detection Systems," <i>Software Engineering Institute</i> , IEEE Software Eng., September/October 2000
	AVV	Network ICE Corporation, "Why Firewalls Are Not Enough," at www.networkice.com/products/firewalls.html , printed 1/2/02
	AWW	Power, R., et al., "CSI Intrusion Detection System Resource – Five Vendors Answer Some Nonsense Questions on IDS," <i>Computer Security Alert #184</i> , July 1998
	AXX	Power, R., "CSI Roundtable: Experts discuss present and future intrusion detection systems," <i>Computer Security Journal</i> , vol. XIV #1, URL: http://www.gocsi.com/roundtable.htm , 2001
	AYY	Sample, Char et al., "Firewall and IDS Shortcomings," SANS Network Security, Monterey, CA, October 2000
	AZZ	Smith, Gary, "A Brief Taxonomy of Firewalls – Great Walls of Fire," SANS Institute's Security Reading Room, May 18, 2001, URL: http://rr.sans.org/firewall./taxonomy.php
	AAAA	Spitzner, Lance, "How Stateful is Stateful Inspection? Understanding the FW-1 State Table," http://www.enteract.com/~lspitz/fwtable.pl , November 29, 2000
	ABBB	Sundaram, A., "An Introduction to Intrusion Detection," www.acm.org/crossroads/xrds2-4/intrus.html , January 23, 2001
	ACCC	Tyson, Jeff., "How Firewalls Work," http://www.howstuffworks.com/firewall.htm/printable , printed 11/21/01
	ADDD	Xinetica, Ltd., "An Overview of Intrusion Detection Systems," Xinetica White Paper, November 2001, URL: http://www.xinetica.com/tech_explained/general/idswp_ids.html
<i>U</i>	AEEE	Zuk, Nir, "Protect Yourself With Firewalls," www.techtv.com , July 12, 2001, URL: http://www.techtv.com/screensavers/print/0,23102,3325761,00.html
	AFFF	
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	AJJJ	
	AKKK	

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